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**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

*[Handwritten signature]*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/454,646 12/06/99 CHALLENGER D RP9-98-055

IBM CORPORATION  
 PERSONAL SYSTEM GROUP LEGAL DEPT  
 DEPT 9CCA/BLDG 002-2  
 P O BOX 12195  
 RESEARCH TRIANGLE PARK NC 27709

0212/0119



NOT ASSIGNED

2787

DATE MAILED:

01/19/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

3/19/00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$\_\_\_\_\_.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:  
☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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*Sector 8*  
PATENT

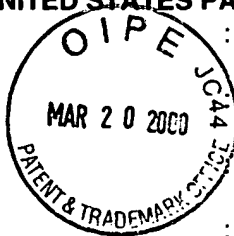
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
David Challener et al.

Serial Number: 09/454,646

Filed: 12/06/99

Title: METHOD AND SYSTEM FOR  
IMPROVED COMPUTER SECURITY :



Date: March 16, 2000

Group Art Unit: 2787

Examiner: Not Assigned

IBM CORPORATION  
Personal Systems Group  
Intellectual Property Law  
Dept. 9CCA/002-2  
P. O. Box 12195  
Research Triangle Park, NC 27709-2195

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
ATTN: Application Division, Special Handling Unit  
Washington, D. C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed January 19, 2000, please find enclosed the following with the appropriate fees:

1. DECLARATION along with the payment of a surcharge of \$130.00 for a large entity.
2. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION, FILING DATE GRANTED.

Charge my Deposit Account No. 09-1990 in the amount of \$ 130.00. The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-1990. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By:

*George E. Grosser*  
George E. Grosser  
Registration No. 25,629  
Telephone No.: (919) 254-4753

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, DC 20231

on March 16, 2000

Date of Deposit

Ira Richardson

Print Name

*Ira Richardson*  
Signature